## **Express Mail Label Number ED** 794123455 US

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCI ired to respond to a collection of information unless it displays a valid OMB control number

| )<br>1<br>E | pct |
|-------------|-----|
| r.          | #   |
| _           | H   |

| RANSMITTAL LETTER TO THE UNITED STATES     |
|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US)       |
| ENCERNING A SURMISSION UNDER 35 U.S.C. 371 |

ATTORNEY'S DOCKET NUMBER 2003DE134

Ge 37 CFR 1.5) PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE MITTE ATTO NAL APPLICATION NO. 05 November 2003 30 October 2004 PGT/EP2004/012326 TITLE OF INVENTION Green Pigment Preparations APPLICANT(S) FOR DO/EO/US Heinfred OHLEIER, Joachim WEBER and Olaf SCHUPP Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. X is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) [X]are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  $\mathbf{X}$ An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 17 A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19 l See Attachment 1 20. X

Other items or information: This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

IAP12 Rec'd PCT/PTO 2 7 APR 2006

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 2003DE134 PCT/EP2004/012326 CALCULATIONS PTO USE ONLY 21. 🛛 Basic national fee \$300 300.00 22. 🔀 If International preliminary examination report prepared by USPTO and all claims satisfy provisions of 200.00 All other situations..... 23 X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an 400.00International Searching Authority......\$100 All other situations.....\$500 900.00 \$ TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. RATE Extra Sheets Number of each additional 50 or fraction **Total Sheets** thereof (round up to a whole number) 0.00 -88 /50 = 12 - 100 = x \$250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ 0.00claimed priority date (37 CFR 1.492(h)). NUMBER EXTRA RATE \$ NUMBER FILED **CLAIMS** x \$ 50 \$ Total claims - 20 = 0.00 13 0 \$ 0.00 \$200 - 3 = 0 x Independent claims \$ 0.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 900.00 TOTAL OF ABOVE CALCULATIONS = \$ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. 0.00 \$ 900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest 0.00 claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ 900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied 40.00 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 940.00 Amount to be \$ refunded: Amount to be \$ charged: to cover the above fees is enclosed. A check in the amount of \$ b. |X Please charge my Deposit Account No. 03-2060 in the amount of \$ 940.00 to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-2060 ... A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Anthony A. Bisulca Clariant Corporation Anthony A. Bisulca Intellectual Property Law Department NAME **CUSTOMER NO. 25,255** 4000 Monroe Road Charlotte, NC 28205 REGISTRATION NUMBER

Attorney's Docket No. 2003DE134

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail Label Number ED 794123455 US

Date of Mailing: April 27, 2006

### **CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that on the date indicated above this International application and the documents referred to as enclosed therein, of:

- CERTIFICATION UNDER 37 CFR 1.10 (Express Mail) (1 page)
- TRANSMITTAL LETTER TO THE DO/EO/US (3 pages) w/ duplicate (6 pages)
- Executed Assignment with Recordation Transmittal (2 pages)
- Notification of the Recording of a Change (2 pages)
- Copy of International Search Report (5 pages)
- Preliminary Amendment with Abstract (6 pages)
- Copy of the English Translation of International Application (12 PAGES SPECIFICATION and claims); (claims 10 in number)
- Executed Declaration (2 pages)
- Postage pre-paid Postcard Receipt

Inventors: Heir

Heinfred OHLEIER Joachim WEBER Olaf SCHUPP

For:

**Green Pigment Preparations** 

International Application No.: International Filing Date:

Priority Filing Date:

PCT/EP2004/012326

30 October 2004

05 November 2003

is being deposited with the United States Postal Service as "Post Office to Addressee" Express Mail addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Mail Stop:, in accordance with 37 CFR 1.10.

Vicki L. Sgro

# Addendum

#### Attachment 1

- · Express Mail Label No. ED 794123455 US;
- Copy of International Search Report (5 pages) and
  Copy of Notification of the Recording of a Change (2 pages);